

Unbalanced Wilf-equivalences

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Definition

A **pattern** is an equivalence class of sequences under order isomorphism.

Example

246135 **contains** pattern 3412 since it contains subsequence 4613, which is order isomorphic to 3412 (is an **instance** of pattern 3412). On the other hand, 246135 has no instances of pattern 2143, so 246135 **avoids** 2143.

Notation

$$\begin{aligned} \text{Av}_n(\tau) &= \{\pi \in S_n \mid \pi \text{ avoids } \tau\} & \text{Av}_n(T) &= \bigcap_{\tau \in T} \text{Av}_n(\tau) \\ \text{Av}(T) &= \bigcup_{n \in \mathbb{N}} \text{Av}_n(T) \end{aligned}$$

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(Sets of) Patterns τ_1 and τ_2 are called **Wilf-equivalent**, denoted $\tau_1 \sim \tau_2$, if $|Av_n(\tau_1)| = |Av_n(\tau_2)|$ for all $n \in \mathbb{N}$. A **Wilf class** of τ is the collection of all (sets of) patterns Wilf-equivalent to τ .

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All permutations in S_3 are Wilf-equivalent (Knuth, 1968), and $|Av_n(\tau)| = C_n$, the n th Catalan number, for any $\tau \in S_3$.

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Wilf classes in S_4

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In S_4 , there are 7 symmetry classes and 3 Wilf classes (Gessel, 1990; West, 1990; Stankova, 1994; Bóna, 1997).

τ	$(Av_n(\tau))_{n \geq 0}$
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$\begin{smallmatrix} 1234 \\ 1243 \\ 1432 \\ 2143 \end{smallmatrix}$	1, 1, 2, 6, 23, 103, 513, 2761, 15767, ...
1324	1, 1, 2, 6, 23, 103, 513, 2762, 15793, ...

Q: Where does the extra 1 come from?

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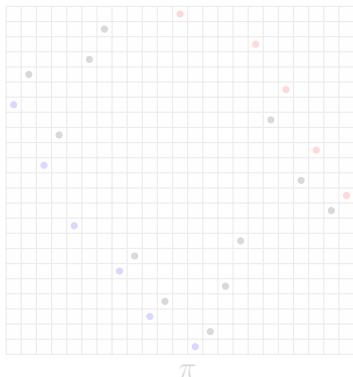
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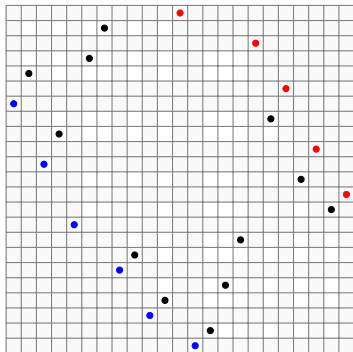
LR-min and RL-max

For a permutation $\pi \in S_n$, find its LR-minima and RL-maxima, then find the cells in its permutation diagram that are above and to the right of an LR-minimum and below and to the left of an RL-maximum. This yields a skew-Ferrers board B_π .



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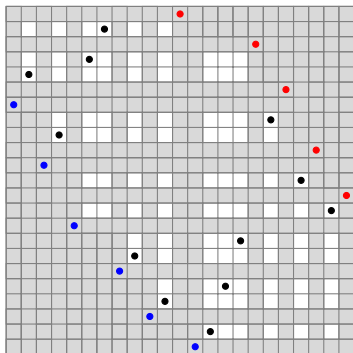
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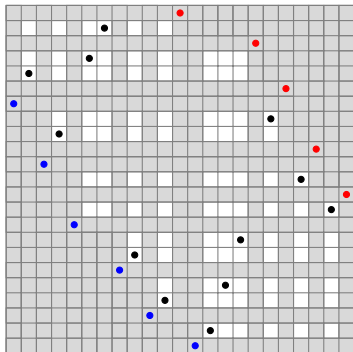
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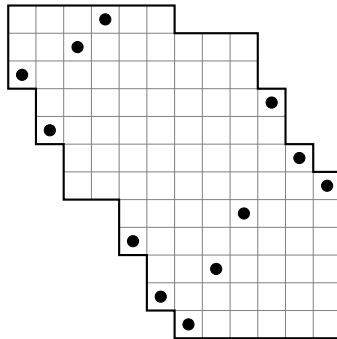
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B_π

Skew-boards

B_σ has $\#rows = \#columns$ and contains the antidiagonal. Call such a skew-shape **permissible**. A **filling** of a permissible board B is a permutation diagram with all entries inside B .

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Lemma (Bona, 1997)

Each permissible board B has a unique filling that avoids B_{1234} (along the antidiagonal) and at least one filling that avoids B_{1324} .

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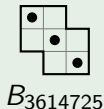
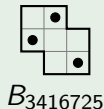
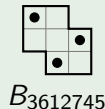
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Some notation and facts

Notation

$I_2 = B_{1234}$, $J_2 = B_{1324}$, $\rho = B_{3416725}$.

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Each permissible board B has a unique I_2 -avoiding filling, the one along the antidiagonal of B .

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Unbalanced Wilf-equivalence

Theorem (B., Pantone, 2014; Jelínek, 2008)

$1234 \sim (1324, 3416725)$

Definition

We call a Wilf-equivalence **unbalanced** if the two sets of forbidden patterns do not contain the same number of patterns of each length.

The **type** of an unbalanced Wilf-equivalence is defined by the lengths of the patterns involved. For example, the above Wilf-equivalence is of the type $(4) \sim (4, 7)$.

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- ① $\pi \in \text{Av}(1234) \iff B_\pi \text{ avoids } l_2.$
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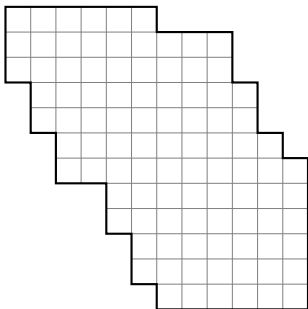
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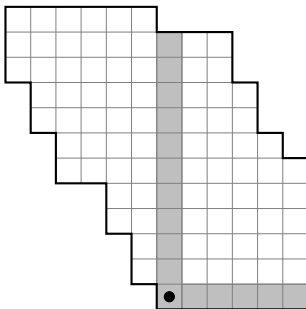
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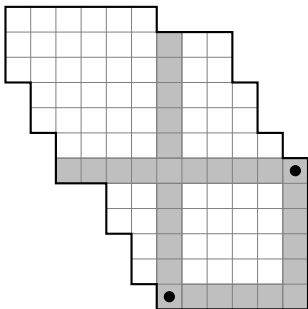
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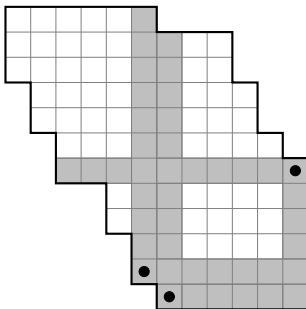


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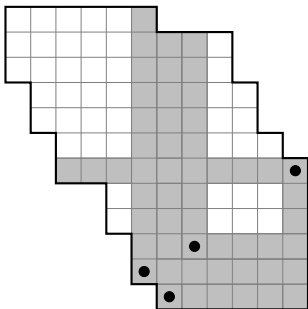


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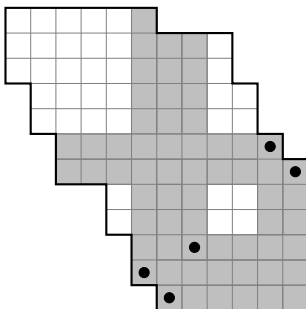


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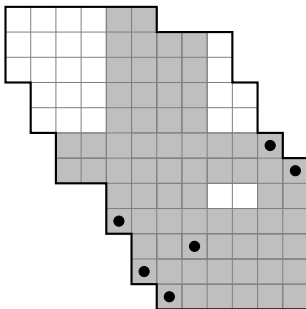


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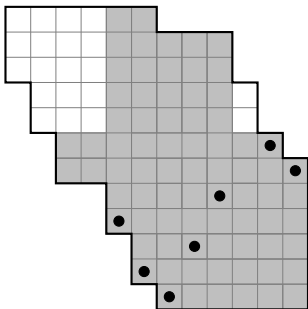


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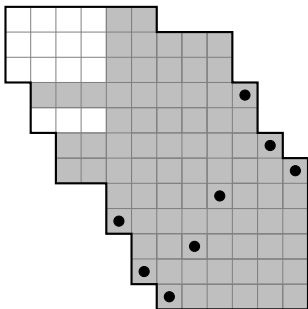


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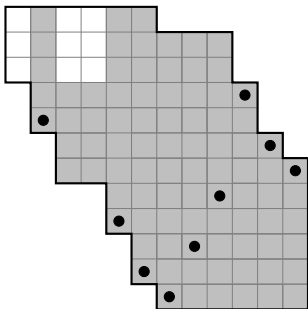


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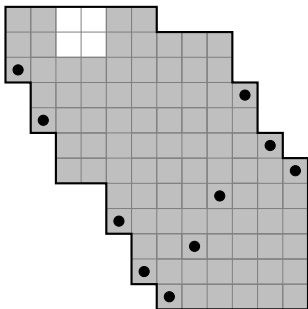


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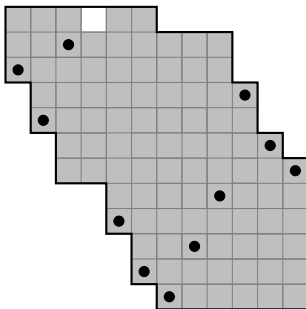


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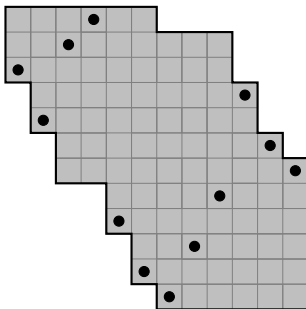


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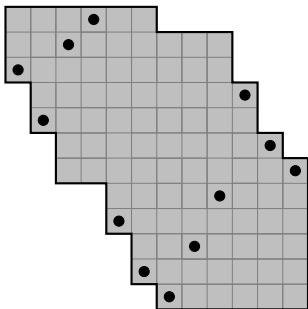
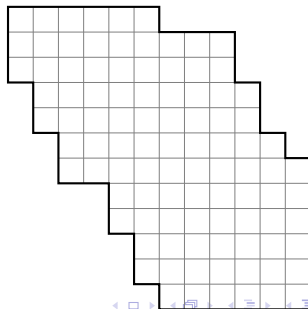
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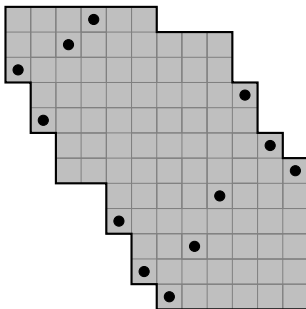
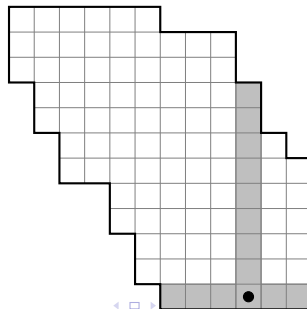
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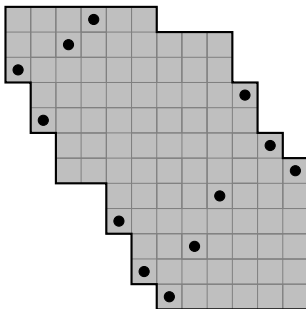
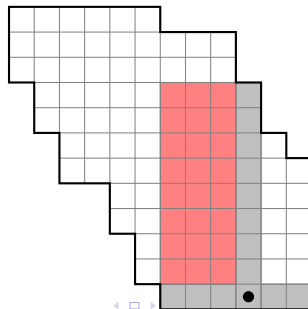
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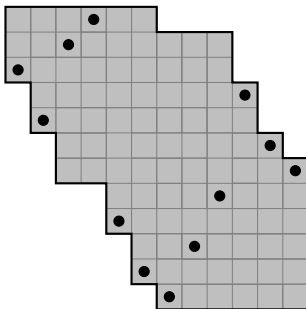
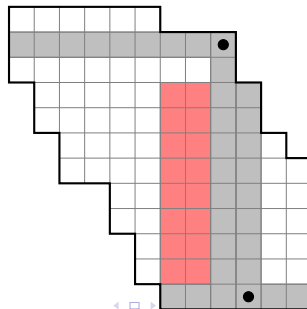
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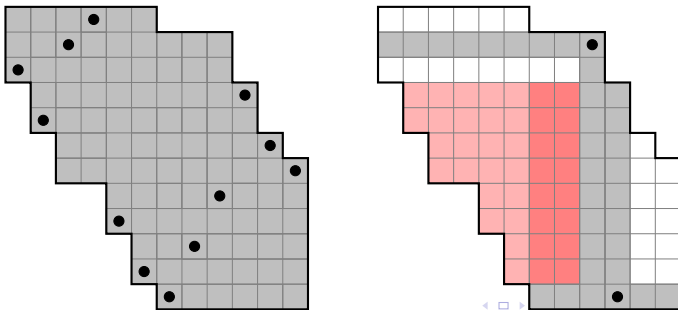
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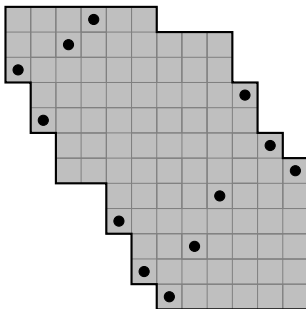
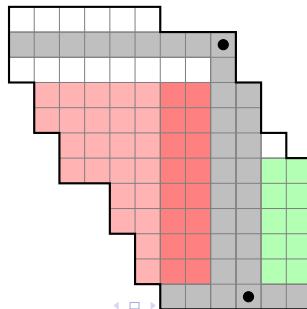
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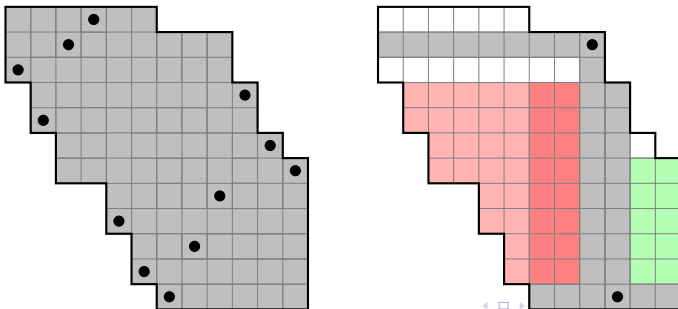


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- ① $\pi \in \text{Av}(1234) \iff B_\pi \text{ avoids } I_2.$
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An **involution** is a permutation π such that $\pi^{-1} = \pi$. Let $\mathcal{I}_n(\tau)$ be the set of involutions avoiding pattern (or set of patterns) τ .

Theorem (B., Pantone, 2014)

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1324	1, 1, 2, 6, 23, 103, 513, 2762, 15793, ...

Q: Where does the extra 1 come from?

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Checked for permutations of length ≤ 17 .

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There are no other $(4) \sim (4, k)$ Wilf-equivalences for any k .

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246135, 254613, 263514, 524361, 546132.

These give rise to many $(4, 4) \sim (4, 4, 6)$ Wilf-equivalences, for example,

$$(2413, 3142) \sim (2143, 3142, 246135).$$

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Intervals and simple permutations

Definition

A permutation π contains an **interval** if $\pi([i, j]) = [k, l]$ for some i, j, k, l with $j - i = l - k$.

Trivially, every $\pi \neq \emptyset$ contains intervals of length 1 and $|\pi|$.

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A permutation π is **simple** if π has no nontrivial intervals.

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1, 12, 21, 2413, 3142, 24153, 31524, 35142, 42513, ...

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$\text{Si}(S) =$ simple permutations in set S .

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Given a permutation π , there exists a unique simple permutation σ such that $\pi = \sigma[\tau_1, \dots, \tau_k]$. When $\sigma \notin \{12, 21\}$, the intervals $\tau_1, \dots, \tau_k$ are uniquely determined. When $\sigma = 12$ (resp., $\sigma = 21$), the intervals are unique if we require the first of the two intervals to be sum (resp., skew) indecomposable.

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Lemma (B., Pantone, 2014)

$$\text{Si}(\text{Av}(2143, 3142, 2\textcolor{red}{4}6\textcolor{red}{1}35)) = \text{Si}(\text{Av}(2143, 3142, \textcolor{red}{3}4\textcolor{red}{1}2))$$

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$\supseteq$ is obvious; for $\subseteq$, assume a permutation avoids all patterns on the left and contains the marked pattern on the right.



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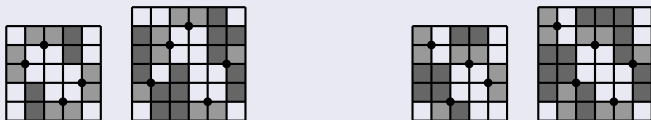
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From simples to all

Every permutation falls into exactly one of the following categories:

- the single permutation of length 1,
- sum decomposable permutations (inflations of 12),
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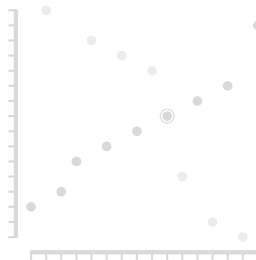
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Skew-merged permutations

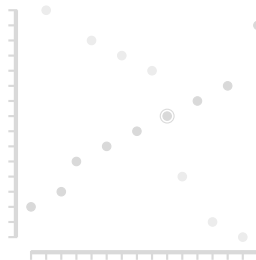
Albert, Vatter, 2013: $Av(2143, 3412)$ are the **skew-merged permutations**: exactly half of them contains 3142 and avoids 2413, the other half contains 2413 and avoids 3142.



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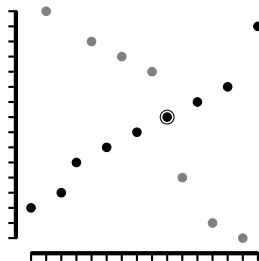
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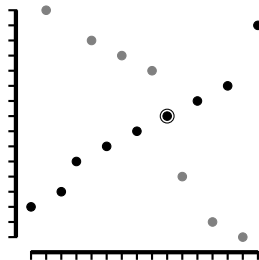
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Functional equation

$$f = 1 + f_{\oplus} + f_{\ominus} + \text{ogf}(\text{inflations of simples of length } \geq 4),$$

where

$$f_{\oplus} = 2xf - x^2(f + 1), \quad f_{\ominus} = \frac{f^2}{1 + f} \quad \text{since } f_{\ominus} = (f - f_{\oplus})f$$

and

$$\text{ogf}(\text{inflations of simples of length } \geq 4) = s\left(\frac{x}{1-x}, f\right),$$

where

$$s(u, v) = \frac{2u^2v^2(1+v)}{1 - 2uv(u+2) - uv^2(u+2) + (1-uv)\sqrt{1 - 2uv(2u+3) - uv^2(3u+4)}}$$

Now verify that $f(x) = \frac{1-x-\sqrt{1-6x+x^2}}{2}$ is a solution.

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Now verify that $f(x) = \frac{1-x-\sqrt{1-6x+x^2}}{2}$ is a solution.

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- Prove that $2413 \sim (2143, 246135)$.
- Generalize $(4) \sim (4, 7)$ and $(4) \sim (4, 6)$ to $(r) \sim (r, \dots)$ for arbitrary $r \geq 4$.
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Red and blue entries, generalized

Let σ and τ be any nonempty permutations.



For example, in the proof of $1234 \sim \{1324, 3416725\}$, we had $\sigma = 1$ and $\tau = 1$.

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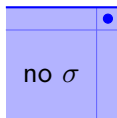


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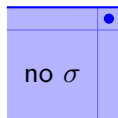


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Definition

The (σ, τ) -unwrapping of a permutation π is a (permissible) board $B_{\pi}^{\sigma, \tau}$ obtained from the permutation diagram of π by deleting red and blue entries along with their rows and columns and the union of all red and blue regions.

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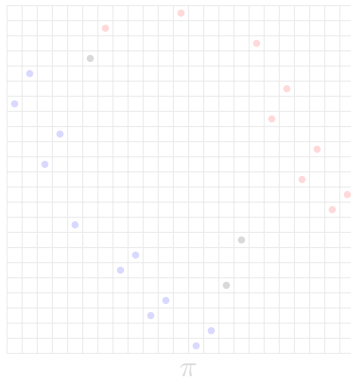
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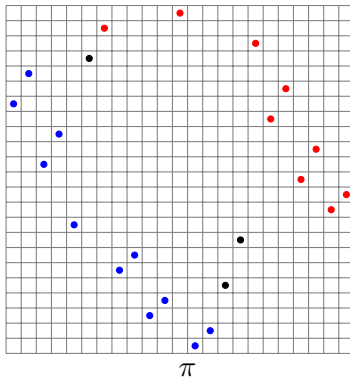
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$$\sigma = 12, \tau = 21$$



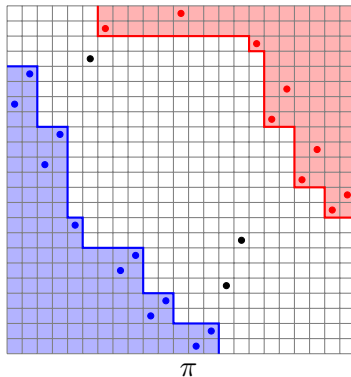
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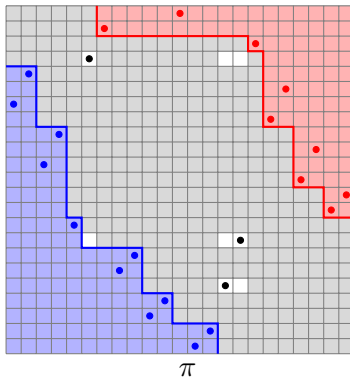
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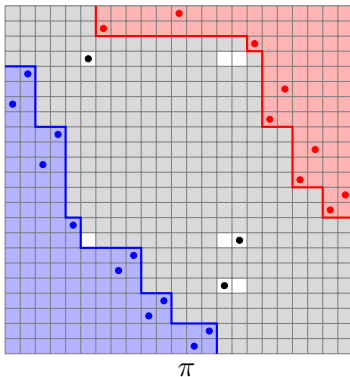
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$$B_{\pi}^{\sigma, \tau}$$

Generalization of $(4) \sim (4, 7)$

Define $\pi_1 \oplus \pi_2 = 12[\pi_1, \pi_2]$, $\pi_1 \ominus \pi_2 = 21[\pi_1, \pi_2]$.

Theorem (Jelínek, 2014)

For any nonempty permutations σ, τ ,

$$\sigma \oplus I_2 \oplus \tau \sim \{\sigma \oplus J_2 \oplus \tau, \text{mw}^{\sigma, \tau}(\rho)\},$$

where $\text{mw}^{\sigma, \tau}(\rho)$ is the set of minimal (σ, τ) -wrappings of ρ .

Note that any $\pi \in \text{mw}^{\sigma, \tau}(\rho)$ has

$$|\sigma| + |\tau| + 5 \leq |\pi| \leq 2|\sigma| + 2|\tau| + 3.$$

Two examples

Example

$\sigma = 1, \tau = 12$:

$12345 \sim \{13245, 34167258, 341689257, 341782956, 341682957, 341789256\}$.

$\sigma = 1, \tau = 21$:

$12354 \sim \{13254, 34168275, 341687295, 341792658, 341798265\}$.

Note that $12345 \sim 12354$, so this yields a
 $(5, 8, 9, 9, 9, 9) \sim (5) \sim (5, 8, 9, 9, 9)$ unbalanced Wilf-equivalence.
Note also that $13245 \sim 13254$.

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